

Exhibit A

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE**

In re:

Boxed, Inc., *et al.*,¹

Debtors.

Chapter 11

Case No. 23-10397 (BLS)

(Jointly Administered)

**AMENDED COMBINED CORPORATE OWNERSHIP STATEMENT
AND LIST OF EQUITY SECURITY HOLDERS PURSUANT
TO FED. R. BANKR. P. 1007(a)(1), 1007(a)(3), and 7007.1**

Pursuant to Rules 1007(a)(1), 1007(a)(3), and 7007.1 of the Federal Rules of Bankruptcy Procedure, Boxed, Inc. and certain of its affiliates, who are or may be debtors and debtors in possession in the above-captioned cases (each a “Debtor” and collectively, the “Debtors”), hereby state as follows:

1. A list of Debtor Boxed, Inc.’s equity interest holders owning more than 5%, their addresses, and the nature of their equity interests is attached hereto as **Exhibit 1**. No publicly traded corporation owns more than 10% of Boxed, Inc.’s equity interests.

2. Debtor Boxed, LLC is 100% owned by Debtor Boxed, Inc. No non-Debtor publicly traded corporation owns more than 10% of Boxed, LLC.

3. Debtor Jubilant LLC is 100% owned by Debtor Boxed, LLC. No publicly traded corporation owns more than 10% of Jubilant LLC.

4. Debtor Ashbrook Commerce Solutions LLC is 100% owned by Debtor Boxed, LLC. No publicly traded corporation owns more than 10% of Ashbrook Commerce Solutions

¹ The Debtors in these chapter 11 cases, along with the last four digits of each Debtor’s federal tax identification number are: Boxed, Inc. (6188), Boxed, LLC (8041); Jubilant LLC (2107); Ashbrook Commerce Solutions LLC (4046) and BOXED MAX LLC (5987). The Debtors’ service address is 61 Broadway, Floor 30, New York, NY 10006.

LLC.

5. Debtor BOXED MAX LLC is 100% owned by Debtor Boxed, LLC. No publicly traded corporation owns more than 10% of BOXED MAX LLC.

Exhibit 1

Name & Address	Number of Shares of Common Stock ²
HAMILTON LANE INCORPORATED 110 WASHINGTON ST. SUITE 1300 CONSHOHOCKEN, PA 19438	3,736,804.75 (5.427%)
THE VANGUARD GROUP, INC. 100 VANGUARD BOULEVARD MALVERN, PA 19355	3,524,728.86 (5.119%)
ACTIVANT VENTURES II LP 323 RAILROAD AVE, STE 2 GREENWICH, CT 06830-6779	89,430.00 (0.120%)
AEON CO LTD 1-5-1 NAKAS E, MIHAMA-KU CHIBA, 261-8515 JAPAN	3,629,583.00 (4.863%)
ALABAMA LIBERTY GROUP LLC 300 LIBERTY AVE BROOKLYN, NY 11207-2923	91,720.00 (0.123%)
ALHAMMAD, TALAL [ADDRESS ON FILE]	949.00 (0.001%)
ANSELL, JEFFREY P [ADDRESS ON FILE]	8,854.00 (0.012%)
BALFOUR PARTNERS LP CORPORATE TRUST CENTER 1209 N ORANGE ST WILMINGTON, DE 19801-1120	144,125.00 (0.193%)
BAM VENTURES PARTNERS (A) LP 3435 WILSHIRE BLVD, STE 1100 LOS ANGELES, CA 90010-1912	2,119.00 (0.003%)
BAM VENTURES PARTNERS LP 3435 WILSHIRE BLVD, STE 1100 LOS ANGELES, CA 90010-1912	3,157.00 (0.004%)
BERKE, WESLEE [ADDRESS ON FILE]	407.00 (0.001%)

² DTC Banks and Brokers percentages were not readily available. These percentages were estimated based on 72,663,598 shares of common stock.

BHANDADY, SANATH [ADDRESS ON FILE]	15,730.00 (0.021%)
BLACKROCK DIRECT LENDING FUND - IX-L (IRELAND) C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	3,171.00 (0.004%)
BLACKROCK DIRECT LENDING FUND - IX-U (IRELAND) C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,894 (0.003%)
BLACKROCK DIRECT LENDING FUND - IX-U (LUXEMBOURG) SCSP C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,351.00 (0.002%)
BLACKROCK DIVERSIFIED PRIVATE - DEBT FUND MASTER LP C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	743.00 (0.001%)
BLACKROCK DLF IX 2019 CLO LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	923.00 (0.001%)
BLACKROCK DLF IX 2019-G CLO LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,063.00 (0.001%)
BLACKROCK DLF IX 2020-1 CLO LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,063.00 (0.001%)
BLACKROCK DLF IX CLO 2021-1 LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	2,565.00 (0.003%)

BLACKROCK DLF IX CLO 2021-2 LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	6,383.00 (0.009%)
BLACKROCK SHASTA CLO VII LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,303.00 (0.002%)
BOSSERT, CARLY [ADDRESS ON FILE]	2,849.00 (0.004%)
BOYD, MARY FRANKLIN [ADDRESS ON FILE]	6,892.00 (0.009%)
BRENNER, ELIZABETH [ADDRESS ON FILE]	94.00 (0.000%)
BRIER, BRENDAN TIMOTHY [ADDRESS ON FILE]	77,188.00 (0.103%)
BUILD PRIVATE CREDIT LP C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	161.00 (0.000%)
CAPILI, MERCURY [ADDRESS ON FILE]	999.00 (0.001%)
CEDE & CO 570 WASHINGTON BLVD JERSEY CITY, NJ 07310-1617	54,528,407.00 (73.062%)
CHALFEN, MICHAEL [ADDRESS ON FILE]	32,115.00 (0.043%)
CHAN, JOHNNY C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	1,107.00 (0.001%)
CHAN, THOMAS [ADDRESS ON FILE]	1,899.00 (0.003%)

CHEN, KEN [ADDRESS ON FILE]	31,219.00 (0.042%)
CHEN, RANDALL [ADDRESS ON FILE]	1,424.00 (0.002%)
CHEUNG, PRUDENCE [ADDRESS ON FILE]	40,853.00 (0.055%)
CHUN, ERIC [ADDRESS ON FILE]	4,036.00 (0.005%)
COMITO, CROYDEN [ADDRESS ON FILE]	1,899.00 (0.003%)
[NAME AND ADDRESS ON FILE]	9.00 (0.000%)
CRUZ, CESAR C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	1,246.00 (0.002%)
DIGITAL ENTERTAINMENT VENTURES LLC 885 3RD AVE, FL 20 NEW YORK, NY 10022-4834	12,487.00 (0.017%)
DONOVAN, ALISON [ADDRESS ON FILE]	284.00 (0.000%)
DV TECHNOLOGY LLC C/O DIAGEO NORTH AMERICA INC 3 WORLD TRADE CENTER 175 GREENWICH ST NEW YORK, NY 10007	2,480,840.00 (3.324%)
ELCOCK, VIVIANA [ADDRESS ON FILE]	94.00 (0.000%)
ENIAC COINVESTMENTS LLC 182 5TH AVE, FL 4F NEW YORK, NY 10010-5918	52,979.00 (0.071%)

FORSYTH, PATRICK C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	435.00 (0.001%)
FRANCO, JOHN [ADDRESS ON FILE]	23,745.00 (0.032%)
FRIEDMAN, MARC [ADDRESS ON FILE]	8,854.00 (0.012%)
GEORGIA-PACIFIC GROWTH INVESTMENT LLC ATTN GENERAL COUNSEL 133 PEACHTREE ST NE ATLANTA, GA 30303-1804	1,179,618.00 (1.581%)
[NAME AND ADDRESS ON FILE]	5,904.00 (0.008%)
[NAME AND ADDRESS ON FILE]	1,309.00 (0.002%)
HARRIS, DAVID [ADDRESS ON FILE]	103,297.00 (0.138%)
HAUSER, MARK [ADDRESS ON FILE]	1,396,321.00 (1.871%)
HUANG, CHIEH [ADDRESS ON FILE]	6,668.00 (0.009%)
HUANG, CHIEH [ADDRESS ON FILE]	2,295,813.00 (3.076%)
HUANG, MALI [ADDRESS ON FILE]	40,853.00 (0.055%)
IN, ANDREW [ADDRESS ON FILE]	949.00 (0.001%)

JOACHIM, MATTHEW C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	6,430.00 (0.009%)
JTCM VENTURES LLC 555 SAINT CHARLES DR, STE 200 THOUSAND OAKS, CA 91360-3985	349,312.00 (0.468%)
JUHASZ, AMANDA [ADDRESS ON FILE]	1,929.00 (0.003%)
KANG, SUZY [ADDRESS ON FILE]	8,606.00 (0.012%)
KESHET, KEREN C/O THE RAINBOW FOUNDATION 1015 PARK AVE NEW YORK, NY 10028-0904	432,374.00 (0.579%)
KIM, MOON CHUNG C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	313.00 (0.000%)
LAST, MICHAEL [ADDRESS ON FILE]	2,645.00 (0.004%)
LAURN MAERKIN 2021 SEVEN OAKS GRANTOR RETAINED ANNUITY TRUST [ADDRESS ON FILE]	154,300.00 (0.207%)
LEE, BRIAN C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	28,494.00 (0.038%)
LEE, DANIEL [ADDRESS ON FILE]	37.00 (0.000%)
LOHUIS, MEGAN [ADDRESS ON FILE]	949.00 (0.001%)
MANNA, HEIDI [ADDRESS ON FILE]	39,382.00 (0.053%)

MANTRA PARTNERS LLC 9320 GALLARDO ST CORAL GABLES, FL 33156-2362	10,596.00 (0.014%)
MARTIN, MICHAEL C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	692.00 (0.001%)
MARTINEZ, ROMAN [ADDRESS ON FILE]	2,650.00 (0.004%)
MATOE LLC 123 W NYE LN, STE 129 CARSON CITY, NV 89706-0838	16,049.00 (0.022%)
MATTHEWS, GARY [ADDRESS ON FILE]	20,000.00 (0.027%)
MATTHEWS, GARY S [ADDRESS ON FILE]	1,396,321.00 (1.871%)
MATTHEWS, NORMAN S [ADDRESS ON FILE]	28,946.00 (0.039%)
MESBAH-KHAVARI, BARDIA [ADDRESS ON FILE]	14,473.00 (0.019%)
MILLS, ROMMIE [ADDRESS ON FILE]	420.00 (0.001%)
MILSTEIN, MICHAEL [ADDRESS ON FILE]	19,391.00 (0.026%)
MIROPOLSKI, EUGEN C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	4,238.00 (0.006%)
PARK, DO C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	1,424.00 (0.002%)
PEARSON, ANDREW [ADDRESS ON FILE]	415,589.00 (0.557%)

PETERS, PATRICK C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	28,494.00 (0.038%)
PETSAS, ANDREAS [ADDRESS ON FILE]	94.00 (0.000%)
PODDAR, RUCHITA [ADDRESS ON FILE]	13,059.00 (0.017%)
PRADO, MATTHEW [ADDRESS ON FILE]	55.00 (0.000%)
REED, WILL [ADDRESS ON FILE]	2,140.00 (0.003%)
RELIANCE STANDARD LIFE INSURANCE COMPANY C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,131.00 (0.002%)
RESTINE, MATTHEW [ADDRESS ON FILE]	7,598.00 (0.010%)
COHANZICK ABSOLUTE RETURN MASTER FUND, LTD. 427 BEDFORD RD STE 230 PLEASANTVILLE, NY 10570-3059	22,043.00 (0.030%)
SANDS LIGHT CAPITAL LLC 707 WESTCHESTER AVE, STE 207 WHITE PLAINS, NY 10604-3154	910,449.00 (1.220%)
SCHRYER, DAN [ADDRESS ON FILE]	10,596.00 (0.014%)
SEATON CONSULTANTS LTD 16047 COLLINS AVE, APT 1603 SUNNY ISLES BEACH, FL 33160-5567	2,293,037.00 (3.072%)
SERRA, EILEEN M [ADDRESS ON FILE]	39,382.00 (0.053%)
SEVEN OAKS SPONSOR LLC 445 PARK AVE, FL 7 NEW YORK, NY 10022-2606	82,000 (0.110%)

SHAH, ABHI [ADDRESS ON FILE]	474.00 (0.001%)
SIMON, MARLON C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	94.00 (0.000%)
TAM, JUSTON [ADDRESS ON FILE]	94,980.00 (0.127%)
TCP DIRECT LENDING FUND VIII-A LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,622.00 (0.002%)
TCP DIRECT LENDING FUND VIII-S LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	170.00 (0.000%)
TCP DIRECT LENDING FUND VIII-T LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	340.00 (0.000%)
TCP DLF VIII 2018 CLO LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	1,246.00 (0.002%)
TENNENBAUM SENIOR LOAN FUND II LP C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	2,680.00 (0.004%)
TENNENBAUM SENIOR LOAN FUND V LP C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	2,476.00 (0.003%)
TMD-DL HOLDINGS LLC C/O TENNENBAUM CAPITAL PARTNERS LLC 2951 28TH ST, STE 1000 SANTA MONICA, CA 90405-2993	322.00 (0.000%)
TUCKER, RANDOLPH K [ADDRESS ON FILE]	94,728.00 (0.127%)

UPWARD VENTURES LTD C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	65,694.00 (0.088%)
VECHAKUL, JAY [ADDRESS ON FILE]	692.00 (0.001%)
WASHINGTON, REGYNALD GLENN [ADDRESS ON FILE]	39,382.00 (0.053%)
WOJCIESZEK, MATTHEW C/O GIDDY INC 451 BROADWAY NEW YORK, NY 10013-2744	1,899.00 (0.003%)
YAMAN, JARED [ADDRESS ON FILE]	6,666.00 (0.009%)
YAMAN, JARED [ADDRESS ON FILE]	1,602,314.00 (2.147%)
YANG, JOSHUA [ADDRESS ON FILE]	1,424.00 (0.002%)
ZHENG, QUEENIE [ADDRESS ON FILE]	593.00 (0.001%)
ABN AMROCC (0695) ATT PROXY DEPT 175 W. JACKSON BLVD STE 2050 CHICAGO, IL 60605	31,908.00 (0.044%)
AMERIPRISE (0756) ATT GREG WRAALSTAD/PROXY MGR 901 3RD AVE SOUTH MINNEAPOLIS, MN 55474	30,807.00 (0.042%)
AMALGAMATED BANK (2352) ATT BOB WINTERS OR PROXY MGR 275 7TH AV NEW YORK, NY 10001	9,895.00 (0.014%)

APEX/ETRADE (0158/0385) C/O BROADRIDGE SECS PROCESSING YASMINE CASSEUS, 2 GATEWAY CTR 283-299 MARKET ST - 16TH FL NEWARK, NJ 07102	1,330,427.00 (1.831%)
AXOS CLEARING (0052) ATT CORPORATE ACTIONS DEPT 1200 LANDMARK CTR, STE. 800 OMAHA, NE 68102-1916	40,027.00 (0.055%)
BANK OF NEW YORK MELLON (0901) ATT EVENT CREATION 500 GRANT ST ROOM 151-1700 PITTSBURGH, PA 15258	583,045.00 (0.802%)
BARCLAYS CAPITAL INC. (0229) ATT CORPORATE ACTIONS/REORG 745 7TH AV, 3RD FL NEW YORK, NY 10019	2,916.00 (0.004%)
BMO CAPITAL MKTS CORP (0045) JOHN FINERTY OR PROXY MGR 3 TIMES SQ NEW YORK, NY 10036	522.00 (0.001%)
BMO NESBITT BURNS /CDS (5043) ATT PHUTHORN PENIKETT 250 YONGE ST., 14TH FL TORONTO, ON M5B 2M8 CANADA	221,173.00 (0.304%)
M&I MARSHALL & ILSLEY BK(0992) ATT CYNTHIA HAMMERELL/PROX MGR 1000 N. WATER ST -TR 14 MILWAUKEE, WI 53202	2,294.00 (0.003%)
BNP PARIBAS, NY BRANCH (2147) ATT PROXY DEPT 525 WASHINGTON BLVD 9TH FL JERSEY CITY, NJ 07310	167,322.00 (0.230%)

BNP PRIME BROKERAGE (2154) ATT PROXY DEPT 525 WASHINGTON BLVD 9TH FL JERSEY CITY, NJ 07310	6.00 (0.00001%)
BNP/CUST (2787) ATT PROXY DEPT 525 WASHINGTON BLVD 9TH FL JERSEY CITY, NJ 07310	211,684.00 (0.291%)
BNY / MID CAP SPDRS (2209) ATT EVENT CREATION 500 GRANT ST ROOM 151-1700 PITTSBURGH, PA 15258	64,121.00 (0.088%)
BNY/NEW ENGLAND (0954) ATT EVENT CREATION 500 GRANT ST ROOM 151-1700 PITTSBURGH, PA 15258	806.00 (0.001%)
BOA/GWIM TST OPER (0955) ATT CORPORATE ACTIONS MANAGER 901 MAIN ST 12TH FL DALLAS, TX 75202	2,210.00 (0.003%)
BOFA SECS (0161) ATT EARL WEEKS OR PROXY DEPT C/O MERRILL LYNCH CORP ACTIONS 4804 DEER LAKE DR. E. JACKSONVILLE, FL 32246	47.00 (0.0001%)
BOFA SECS (5198) ATT EARL WEEKS OR PROXY DEPT C/O MERRILL LYNCH CORP ACTIONS 4804 DEER LAKE DR. E. JACKSONVILLE, FL 32246	792,138.00 (1.090%)
BOFA SECS (5143) ATT EARL WEEKS OR PROXY DEPT C/O MERRILL LYNCH CORP ACTIONS 4804 DEER LAKE DR. E. JACKSONVILLE, FL 32246	436,700.00 (0.601%)

BARCLAYS CAPITAL (8455) ATT CORPORATE ACTIONS/REORG 745 7TH AV, 3RD FL NEW YORK, NY 10019	228,457.00 (0.314%)
BROWN BROS HARRIMAN & CO(0010) ATT CORPORATE ACTIONS / VAULT 140 BROADWAY NEW YORK, NY 10005	619,670.00 (0.853%)
BROWN BROS HARRIMAN/ETF (0109) ATT CORPORATE ACTIONS / VAULT 140 BROADWAY NEW YORK, NY 10005	1.00 (0.000001%)
CANTOR FITZGERALD & CO. (0696) ATT ANTHONY ROYE OR PROXY DEPT CORPORATE ACTIONS 55 WATER ST, 28TH FL NEW YORK, NY 10041	929.00 (0.001%)
CDS CLEAR & DEPOSITORY (5099) ATT LORETTA VERELLI/PROXY MGR 600 BOUL. DE MAISONNEUVE OUEST BUREAU 210 MONTREAL, QC H3A 3J2 CANADA	346,856.00 (0.477%)
CETERA (0701) ATT ANGELA HANDELAND/PROXY MGR 400 1ST ST SOUTH STE 300 ST. CLOUD, MN 56301	200.00 (0.0003%)
CITIBANK (0505) ATT DARYL SLATER OR REORG MGR 3800 CITIBANK CTR B3-12 TAMPA, FL 33610	40.00 (0.0001%)
CHARLES SCHWAB & CO. (0164) ATT CHRISTINA YOUNG/PROXY MGR 2423 EAST LINCOLN DR PHOENIX, AZ 85016-1215	3,406,538.00 (4.688%)

BBS SECS INC./CDS (5085) ATT DEBORAH CARLYLE/PROXY MGR 4100 YONGE ST STE 504A TORONTO, ON M2P 2G2 CANADA	600.00 (0.001%)
CIBC WORLD MKTS. /CDS (5030) ATT REED JON OR PROXY DEPT 22 FRONT ST. W. 7TH FL TORONTO, ON M5J 2W5 CANADA	122,031.00 (0.168%)
CITIBANK, N.A. (0908) ATT SHERIDA SINANAN/PROXY DEPT 3801 CITIBANK CTR B/3RD FL/ZONE 12 TAMPA, FL 33610	3,069,650.00 (4.224%)
CLEAR ST LLC (9132) ATT PROXY MGR 55 BROADWAY STE 2102 NEW YORK, NY 10006	54,000.00 (0.074%)
COWEN EXECUTION SVCS LLC ATT HOWARD FLAXER OR PROXY MGR 3501 QUADRANGLE BLVD STE 200 ORLANDO, FL 32817	3,365.00 (0.005%)
CREDENTIAL SECS INC (5083) DANIELLE MONTANARI/PROXY MGR 700 - 1111 W. GEORGIA ST VANCOUVER, BC V6E 4T6 CANADA	3,136.00 (0.004%)
CREST INTL NOMINEES LTD (2012) ATT NATHAN ASHWORTH/PROXY MGR 33 CANNON ST LONDON, EC4M 5SB UNITED KINGDOM	147,815.00 (0.203%)
D. A. DAVIDSON & CO. (0361) ATT RITA LINSKEY OR PROXY MGR 8 THIRD ST NORTH GREAT FALLS, MT 59401	3,305.00 (0.005%)

DESJARDINS SECS INC.(5028) ATT KARLA DIAZ/VALUERS MOB. 2 COMPLEXE DESJARDINS TOUR EST NIVEAU 62 MONTREAL, QC H5B 1B4 CANADA	83,715.00 (0.115%)
DRIVEWEALTH, LLC (2402) ATT PROXY MGR 15 EXCHANGE PL JERSEY CITY, NJ 07302	288,500.00 (0.397%)
ETRADE/APEX (0158/0385) C/O BROADRIDGE SECS PROCESSING YASMINE CASSEUS, 2 GATEWAY CTR 283-299 MARKET ST - 16TH FL NEWARK, NJ 07102	6,142,309.00 (8.453%)
JPMORGAN CHASE / EURO (1970) ATT KENNY CHIU PROXY MGR NY1-C094 4 METROTECH CTR - 3RD FL BROOKLYN, NY 11245	2,010.00 (0.003%)
FIFTH THIRD BANK THE (2116) ATT CARRIE POTTER/PROXY DEPT 5001 KINGSLEY DR MAIL DROP 1M0B2D CINCINNATI, OH 45227	7,601.00 (0.010%)
FOLIO INVESTMENTS, INC. (0728) ATT ASHLEY THEOBALD/PROXY MGR 8180 GREENSBORO DR 8TH FL MCLEAN, VA 22102	50.00 (0.0001%)
FUTU CLEARING INC. (4272) ATT COLLETE REX 12750 MERIT DR, STE 475 DALLAS, TX 75251	70,815.00 (0.097%)
GOLDMAN SACHS (0005) ATT MEGHAN SULLIVAN/PROXY DEPT 30 HUDSON ST JERSEY CITY, NJ 07302	245,825.00 (0.338%)

GOLDMAN SACHS INTERNATL (5208) ATT MEGHAN SULLIVAN/PROXY DEPT 30 HUDSON ST JERSEY CITY, NJ 07302	656,724.00 (0.904%)
HILLTOP SECS (0279) ATT PROXY DEPT 1201 ELM ST STE 3500 DALLAS, TX 75270	692.00 (0.001%)
HSBC SECS () INC. (0816) CHRIS ARMATO OR PROXY MGR 1 WEST 39TH ST NEW YORK, NY 10018	7,000.00 (0.010%)
HSBC/CCSLB (1950) ATT BARBARA SKELLY/PROXY MGR 545 WASHINGTON BLVD 10TH FL JERSEY CITY, NJ 07310	12.00 (0.00002%)
HSBC BANK USA/CLEARING (8396) ATT BARBARA SKELLY/PROXY MGR 545 WASHINGTON BLVD 10TH FL JERSEY CITY, NJ 07310	7,703.00 (0.011%)
ING FINANCIAL MKTS LLC (7273) ATTN PROXY MGR 1325 AVE OF THE AMERICAS NEW YORK, NY 10019	112,200.00 (0.154%)
INTERACTIVE BROKERS (0534) ATT KARIN MCCARTHY/PROXY DEPT 2 PICKWICK PLAZA, 2ND FL GREENWICH, CT 06830	1,446,815.00 (1.991%)
JEFFERIES & CO, INC. (0019) ATT ROBERT MARANZANO/PROXY MGR 34 EXCHANGE PL JERSEY CITY, NJ 07311	95.00 (0.0001%)
JANNEY MONT. SCOTT INC. (0374) ATT CORPORATE ACTIONS 1717 ARCH ST 17TH FL PHILADELPHIA, PA 19103-1675	3,550.00 (0.005%)

EDWARD JONES (0057) ATT DEREK ADAMS OR PROXY DEPT CORPORATE ACTS & DISTRIBUTION 12555 MANCHESTER RD ST. LOUIS, MO 63131	104,455.00 (0.144%)
JPMORGAN CHASE (2357) ATT KENNY CHIU PROXY MGR NY1-C094 4 METROTECH CTR - 3RD FL BROOKLYN, NY 11245	329,182.00 (0.453%)
JPMORGAN CHASE (3622) ATT KENNY CHIU PROXY MGR NY1-C094 4 METROTECH CTR - 3RD FL BROOKLYN, NY 11245	263,427.00 (0.363%)
JPMORGAN CHASE (0902) ATT KENNY CHIU PROXY MGR NY1-C094 4 METROTECH CTR - 3RD FL BROOKLYN, NY 11245	2,641,626.00 (3.635%)
J.P. MORGAN/CLEARING (0352) ATT CORPORATE ACTIONS TEAM 500 STANTON CHRISTIANA RD. NCC5 FL3 NEWARK, DE 19713	633,620.00 (0.872%)
LPL FIN CORP (0075) ATT CORPORATE ACTIONS 1055 LPL WAY FORT MILL, SC 29715	68,475.00 (0.094%)
MANUFACTURERS & TRADERS (0990) ATT DON SCHMIDT OR PROXY MGR ONE M&T PLAZA 8TH FL BUFFALO, NY 14240	500.00 (0.001%)
MIRAE/STK (1385) ATT PROXY MGR 810 7TH AV, 37TH FL NEW YORK, NY 10019	40,000.00 (0.055%)

MERRILL LYNCH (8862) ATT EARL WEEKS/PROXY DEPT C/O MERRILL LYNCH CORP ACTIONS 4804 DEER LAKE DR. E. JACKSONVILLE, FL 32246	3,028,434.00 (4.168%)
MORGAN STANLEY & CO. (0050) ATT MS PROXY DEPT 1300 THAMES ST WHARF BALTIMORE, MD 21231	267,010.00 (0.367%)
MORGAN STANLEY/INTL PLC (7309) ATT MS PROXY DEPT 1300 THAMES ST WHARF BALTIMORE, MD 21231	6.00 (0.00001%)
MSSB (0015) ATT MS PROXY DEPT 1300 THAMES ST WHARF BALTIMORE, MD 21231	188,572.00 (0.260%)
NBCN INC. /CDS (5008) ATT DANIEL NTAP OR PROXY MGR 1010 DE LA GAUCHETIERE OUEST STE 1925 MONTREAL, QC H3B 5J2 CANADA	31,722.00 (0.044%)
NATL FIN SVCS. (0226) ATT SEAN COLE OR PROXY DEPT NEWPORT OFFICE CTR III 499 WASHINGTON BLVD JERSEY CITY, NJ 07310	4,393,962.00 (6.047%)
NORTHERN TST CO, THE (2669) ATT ANDREW LUSSEN OR PROXY MGR 801 S. CANAL ST ATT: CAPITAL STRUCTURES-C1N CHICAGO, IL 60607	330,437.00 (0.455%)
OPPENHEIMER & CO. INC. (0571) ATT COLIN SANDY OR PROXY MGR 85 BROAD ST, 4TH FL NEW YORK, NY 10004	29,258.00 (0.040%)

PERSHING (0443) ATT JOSEPH LAVARA/PROXY DEPT 1 PERSHING PLAZA JERSEY CITY, NJ 07399	3,011,010.00 (4.144%)
PHILL CAP (8460) ATT PROXY MGR 141 W JACKSON BLVD CBOT BLDG, STE 3050 CHICAGO, IL 60604	24,280.00 (0.033%)
QUESTRADE INC./CDS (5084) ATT AL NANJI OR PROXY MGR 5650 YONGE ST TORONTO, ON M2M 4G3 CANADA	87,719.00 (0.121%)
ROBERT W BAIRD & CO INC (0547) ATTN CORPORATE ACTIONS 777 E WISCONSIN AVE - 9TH FL MILWAUKEE, WI 53202	26,100.00 (0.036%)
RAYMOND JAMES ASSOC INC (0725) ATT ROBERTA GREEN OR PROXY MGR 880 CARILION PKWY TOWER 2, 4TH FL ST. PETERSBURG, FL 33716	4,094,383.00 (5.635%)
RBC DOMINION /CDS (5002) ATT PROXY MGR 2 BLOOR ST E 2300 TORONTO, ON M4W 1A8 CANADA	259,280.00 (0.357%)
RBC CAPITAL MKTS CORP (0235) ATT STEVE SCHAFFER OR PROXY MGR 60 S 6TH ST - P09 MINNEAPOLIS, MN 55402-1106	77,272.00 (0.106%)
RELIANCE TST CO (5409) ATT TONIE MONTGOMERY 1100 ABERNATHY RD STE 400 ATLANTA, GA 30328	5,500.00 (0.008%)

ROBINHOOD SECS, LLC (6769) ATT MEHDI TAIFI 500 COLONIAL CTR PKWY 100 LAKE MARY, FL 32746	3,183,548.00 (4.381%)
SCOTIA CAPITAL /CDS (5011) ATT EVELYN PANDE OR PROXY DEPT SCOTIA PLAZA 40 KING ST W, 23RD FL TORONTO, ON M5H 1H1 CANADA	181,228.00 (0.249%)
SEI PRIVATE TST CO (2039) ATT ERIC GREENE OR PROXY MGR ONE FREEDOM VALLEY DR OAKS, PA 19456	70.00 (0.0001%)
SG AMERICAS SECS, LLC (0286) ATT PAUL MITSAKOS OR PROXY MGR 1221 AV OF THE AMERICAS NEW YORK, NY 10020	3,115.00 (0.004%)
STATE ST (0997) ATT PROXY DEPT 1776 HERITAGE DR NORTH QUINCY, MA 02171	922,393.00 (1.269%)
SSB&T/CLIENT CUST SVCS (2678) ATT PROXY DEPT 1776 HERITAGE DR NORTH QUINCY, MA 02171	1,018.00 (0.001%)
SSB- IBT/BGI (2767) ATT PROXY DEPT 1776 HERITAGE DR NORTH QUINCY, MA 02171	91,803.00 (0.126%)
STIFEL NICOLAUS & CO. (0793) ATT CHRIS WIEGAND/PROXY DEPT C/O MEDIANT COMMUNICATIONS 501 N. BROADWAY ST. LOUIS, MO 63102	10,589.00 (0.015%)
INTL FCSTONE FIN, INC. (0750) ATT KEN SIMPSON OR PROXY MGR 2 PERIMETER PARK, STE 100W BIRMINGHAM, AL 35209	800.00 (0.001%)

TD AMERITRADE CLEARING (0188) ATT MANDI FOSTER OR PROXY MGR 200 S. 108TH AVE OMAHA, NE 68154	6,048,029.00 (8.323%)
TDWATERHOUSE CANADA/CDS (5036) ATT YOUSUF AHMED OR PROXY MGR 77 BLOOR ST WEST 3RD FL TORONTO, ON M4Y 2T1 CANADA	524,147.00 (0.721%)
TRADESTATION SECS (0271) ATT CORPORATE ACTIONS 8050 SW 10TH ST, STE 2000 PLANTATION, FL 33324	44,101.00 (0.061%)
MARSCO INVESTMENT CORP (0287) ATT KAREN JACOBSEN/PROXY MGR 101 EISENHOWER PKWY ROSELAND, NJ 07068	7,680.00 (0.011%)
TRADEUP SECURITIES INC (2862) ATTN PROXY MGR 437 MADISON AVE, 27TH FL NEW YORK, NY 10022	1,337.00 (0.002%)
UBS AG (2507) ATT MICHAEL HALLET/PROXY MGR PROXY DEPT 315 DEADRICK ST NASHVILLE, TN 37238	11,829.00 (0.016%)
UBS FIN SVCS LLC (0221) ATT PROXY DEPT - JANE FLOOD 1000 HARBOR BLVD WEEHAWKEN, NJ 07086	205,709.00 (0.283%)
UBS SECS LLC (0642) ATT MICHAEL HALLET/PROXY MGR PROXY DEPT 315 DEADRICK ST NASHVILLE, TN 37238	38,610.00 (0.053%)

UMB BANK, NATL ASSOC (2450) ATT KAREN BOUCHARD/PROXY MGR 928 GRAND BLVD MAILSTOP 1010404 KANSAS CITY, MO 64106	1,136.00 (0.002%)
U.S. BANCORP INVEST (0280) ATT KEVIN BROWN OR PROXY MGR 60 LIVINGSTON AVE ST. PAUL, MN 55107-1419	7,640.00 (0.011%)
U.S. BANK N.A. (2803) ATT PAUL KUXHAUS OR PROXY MGR 1555 N. RIVER CTR DR STE 302 MILWAUKEE, WI 53212	192,195.00 (0.264%)
US BANK NA/ETF (2580) ATTN STEPHANIE KAPTA 1555 N RIVER CENTER DR SUITE 302 MILWAUKEE, WI 53226	62.00 (0.0001%)
VANGUARD MARKETING CORP (0062) ATT BEN BEGUIN OR PROXY MGR 14321 N. NORTHSIGHT BLVD SCOTTSDALE, AZ 85260	690,932.00 (0.951%)
VELOCITY CLEARING (7359) ATTN CHRIS FELICETTI 70 HUDSON ST SUITE 5B HOBOKEN, NJ 07030	162,200.00 (0.223%)
VELOX CLEARING LLC (3856) ATT PROXY MGR 2400 E. KATELLA AVE STE 725 ANAHEIM, CA 92806	125,295.00 (0.172%)
VELOCITY CLEARING (0294) ALFRED PENNISI OR PROXY MGR 100 WALL ST 26TH FL NEW YORK, NY 10005	207,221.00 (0.285%)

VIRTU AM (0295) ATT JANICA BRINK OR PROXY MGR 300 VESEY ST NEW YORK, NY 10282	127,852.00 (0.176%)
VISION FIN MKTS LLC (0595) ATT OPS DEPT 120 LONG RIDGE RD, 3 NORTH STAMFORD, CT 06902	101,564.00 (0.140%)
WEDBUSH SECS INC./P3 (8199) ATT ALAN FERREIRA OR PROXY MGR 1000 WILSHIRE BLVD., STE 850 LOS ANGELES, CA 90017	598,589.00 (0.824%)
WELLS FARGO CLEARSVCS (0141) ATT PROXY DEPT H0006-08N 2801 MARKET ST ST. LOUIS, MO 63103	369,866.00 (0.509%)
WELLS FARGO BANK NA/SIG (2072) ATT SCOTT NELLIS OR PROXY MGR 1525 W T HARRIS BLVD 1ST FL CHARLOTTE, NC 28262-8522	10,380.00 (0.014%)